

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Art Unit NA

Examiner NA

In Re: Ross W. Callon et al.  
Case: IBN.5202C1  
Serial No.: NA  
Filed: 11/04/2003  
Subject: Apparatus and Method for Forwarding Encapsulated Data Packets on a Network Having Multiple Links Between Nodes

To: The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir,

**Information Disclosure Statement Under 37 C.F.R. 1.97**

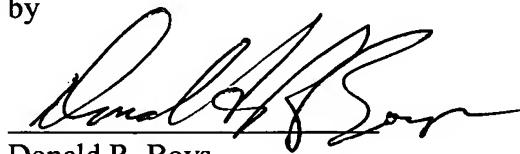
Enclosed herewith is an Information Disclosure Statement (IDS) by Applicant listing eleven (11) references. As required under §1.98(d) no copies of references are enclosed. Applicant desires that the references be entered in the above referenced case as relevance dictates.

As prosecution has not begun in this case, in accordance with rule 1.97(b)(3), no additional fee is required. Please charge any deficiency to Deposit Account No. 50-0534.

Respectfully submitted,

Ross W. Callon et al.

by

A handwritten signature in black ink, appearing to read "Donald R. Boys", written over a horizontal line.

Donald R. Boys

Reg. No. 35,074

Donald R. Boys  
Central Coast Patent Agency, Inc.  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Compleat if Kn wn**

Application Number	NA
Filing Date	11/04/2003
First Named Inventor	Ross W. Callon
Art Unit	NA
Examiner Name	Na
Attorney Docket Number	IBN.5202C1IBN.5202C1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6041054 *	03-2000	Westberg, Lars	
		US- 6587431 *	07-2003	Almulhem et al.	
		US- 5937162 *	08-1999	Funk et al.	
		US- 6044080 *	03-2000	Antonov, Vadim	
		US- 6119171 *	09-2000	Alkhatib, Hasan S.	
		US- 6366563 *	04-2002	Weldon et al.	
		US- 6438127 *	08-2002	Le Goff et al.	
		US- 6473426 *	10-2002	Killian, Thomas J.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 910 195 A2 *	04-21-1999	Compaq Computer		
		EP 0 889 624 A1 *	01-07-1999	Sun Microsystems		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**C mplete if Known**

<b>Applicati n Number</b>	NA
<b>Filing Dat</b>	11/04/2003
<b>First Named Invent r</b>	Ross W. Callon
<b>Art Unit</b>	NA
<b>Examiner Name</b>	NA
<b>Attorney Docket Number</b>	IBN.5202C1

[illegible]

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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\* References not provided under §1.98